

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application

Jin-Jun Liu and Kin-Chun Luk

Case Docket 21437 US2

For: **NOVEL DIHYDROPYRIDINONE COMPOUNDS**

**INFORMATION DISCLOSURE STATEMENT**

Nutley, New Jersey 07110  
December 9, 2003

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

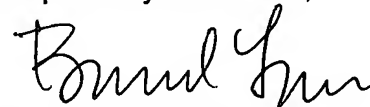
Pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, applicants respectfully direct the Examiner's attention to the documents listed on enclosed Form PTO-1449.

Documents B1-B8 listed on Form PTO-1449 are enclosed. Document A1 is listed but not enclosed.

Consideration of all the documents cited on Form PTO-1449 is requested.

Since the Information Disclosure Statement is submitted before the mailing of the first Office Action on the merits or within three months of the filing date for the above application, no fee is due.

Respectfully submitted,



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| Substitute for form 1449A/PTO   |                       |                         |                                      |   |   | <b>Complete if Known</b>                            |                        |  |  |                    |  |  |
|---|-----------------------|-------------------------|--------------------------------------|---|---|---|------------------------|--|--|--------------------|--|--|
| <b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b><br><br>(Use several sheets if necessary) |                       |                         |                                      |   |   |   | Application Number     |  |  |                    |  |  |
|   |                       |                         |                                      |   |   |   | Filing Date            |  |  |                    |  |  |
|   |                       |                         |                                      |   |   |   | First Name Inventor    |  |  | Jin-Jun Liu et al. |  |  |
|   |                       |                         |                                      |   |   |   | Group Art Unit         |  |  |                    |  |  |
|   |                       |                         |                                      |   |   |   | Examiner Name          |  |  |                    |  |  |
| Sheet   | 1                     | of                      | 2                                    |   |   |   | Attorney Docket Number |  |  | 21437 US2          |  |  |
| <b>U.S. PATENT DOCUMENTS</b>  |                       |                         |                                      |   |   |   |                        |  |  |                    |  |  |
| Examiner Initials <sup>1</sup>  | Cite No. <sup>1</sup> | U.S. Patent Document    |                                      | Name of Patentee or Applicant of Cited Document | Date of Publication of Cited document<br>MM-DD-YYYY |   |                        |  |  |                    |  |  |
|   |                       | Number                  | Kind Code <sup>2</sup><br>(if known) |   |   |   |                        |  |  |                    |  |  |
|   | A1                    | 5,150,373               |                                      | Harris et al.                                   | 11/21/2000  |   |                        |  |  |                    |  |  |
|   |                       |                         |                                      |   |   |   |                        |  |  |                    |  |  |
|   |                       |                         |                                      |   |   |   |                        |  |  |                    |  |  |
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| <b>FOREIGN PATENT DOCUMENTS</b>   |                       |                         |                                      |   |   |   |                        |  |  |                    |  |  |
| Examiner Initials <sup>1</sup>  | Cite No. <sup>1</sup> | Foreign Patent Document |                                      |   | Name of Patentee or Applicant of Cited Document     | Date of Publication of Cited Document<br>MM-DD-YYYY | T <sup>8</sup>         |  |  |                    |  |  |
|   |                       | Office <sup>3</sup>     | Number <sup>4</sup>                  | Kind Code <sup>5</sup><br>(if known)            |   |   |                        |  |  |                    |  |  |
|   | B1                    | WO 96/34867             |                                      |   | Warner-Lambert Company                              | 11/07/1996  |                        |  |  |                    |  |  |
|   | B2                    | WO 98/24432             |                                      |   | Sugen Inc.  | 06/11/1998  |                        |  |  |                    |  |  |
|   | B3                    | WO 98/33798             |                                      |   | Warner-Lambert Company                              | 08/06/1998  |                        |  |  |                    |  |  |
|   | B4                    | WO 00/55148             |                                      |   | Shell Internationale Research Maatschappij B.V.     | 09/21/2000  |                        |  |  |                    |  |  |
|   | B5                    | WO 01/64679             |                                      |   | SmithKline Beecham Corporation                      | 09/07/2001  |                        |  |  |                    |  |  |
|   | B6                    | WO 02/12237             |                                      |   | Warner-Lambert Company                              | 02/14/2002  |                        |  |  |                    |  |  |
|   | B7                    | WO 02/12238             |                                      |   | Warner-Lambert Company                              | 02/14/2002  |                        |  |  |                    |  |  |
|   | B8                    | WO 02/18380             |                                      |   | F.Hoffmann-La Roche AG                              | 03/07/2002  |                        |  |  |                    |  |  |
|   |                       |                         |                                      |   |   |   |                        |  |  |                    |  |  |
|   |                       |                         |                                      |   |   |   |                        |  |  |                    |  |  |
|   |                       |                         |                                      |   |   |   |                        |  |  |                    |  |  |
| Examiner Signature  |                       | Date Considered         |                                      |   |   |   |                        |  |  |                    |  |  |

